

Institute for form 1449/PTO
(Revised 04/2003)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 09/939,782
Filing Date 08/27/2001
First Named Inventor Alie
Group Art Unit 3625
Examiner Name Robert M. Pond
Attorney Docket Number 18360/218130

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
RM	44	US-5,721,832	02/24/1998	Westrope et al.	
RM	45	US-6,460,020	10/01/2002	Pool et al.	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached

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5/09/07

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Substitute for form 1449/PTO (Revised 07/2005) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/939,782
				Filing Date	August 27, 2001
				First Named Inventor	Alie
				Group Art Unit	3625
Examiner Name	Robert M. Pond				
Sheet	1	of	1	Attorney Docket Number	018360/218130

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<i>RM</i>		US-5,721,832	02/24/19998	Westrope et al.	

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<i>RM</i>		JP 2001-175743	06/29/2001			Abstract only
		JP 2001-195466	07/19/2001			Abstract only
		JP 2001-184444	07/06/2001			Abstract only
		JP 2001-175730	06/29/2001			Abstract only
		JP 2001-142954	05/25/2001			Abstract only
		JP 2001-229434	08/24/2001			Abstract only
		JP 2001-216382	08/10/2001			Abstract only
		JP 11-153444	02/26/1999			Abstract only
<i>RM</i>		JP 10-187830	07/21/1998			Abstract only

OTHER DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
<i>RM</i>		Japan Patent Office, Official Action dated December 21, 2006, in JP Application No. 2003-523333	Yes
<i>RM</i>		WILLIAM B. CASSIDY; Technology; Mitsui USA Adds Software; traffic WORLD; January 21, 2002, Page 40	

Examiner Signature	<i>Robert M. Pond</i>	Date Considered	5/09/07
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Sheet <u>1</u> of <u>3</u>						
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[Signature]	1	US-2002/0152174	10/17/2002	Woods et al.		
	2	US-2003/0101069	05/29/2003	Sando		
	3	US-5,440,634	08/08/1995	Jones et al.		
	4	US-5,666,493	09/09/1997	Wojcik et al.		
	5	US-5,878,139	03/02/1999	Rosen		
	6	US-6,076,078	06/13/2000	Camp et al.		
	7	US-6,088,797	07/11/2000	Rosen		
	8	US-6,161,121	12/12/2000	Hardy et al.		
	9	US-6,185,683	02/06/2001	Ginter et al.		
[Signature]	10	US-6,205,433	03/20/2001	Boesch et al.		
	11	US-6,748,366	06/08/2004	Hurwitz et al.		
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[Signature]	12	UPS - Nescrow Materials Submitted in Response to Request for Information.				
	13	Morris Aligns with New E-Commerce Transaction Process; Morris Communications Corporation; 2 pages; available at http://www.morriscomm.com/press/sept18ntp.html , downloaded 09/19/2000.				
[Signature]	14	JAMIE BECKETT; Personal Technology, Rise of the Online Middlemen, Escrow Services in Demand as Net Auction Sites Proliferate; San Francisco Chronicle, 1999; pgs. 1-4.				
Examiner Signature		[Signature]		Date Considered	1/6/07	

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Attorney Docket Number	018360/218130

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	15	CHERYL ROSEN; <i>Payment for Perishables: B-To-B System Guarantees It</i> ; Informationweek.com, March 5, 2001; pg. 61.	
	16	ARNAT LEEMAKDEJ; <i>Online Payment Options, Escrow system may offer best safeguards for international traders</i> ; Bangkok Post, February 2, 2001; pgs. 1-3.	
	17	<i>i-Escrow.com Adopts New Name Tradenable, Plans Rollout of Transaction Settlement Services for Businesses</i> ; Dow Jones Interactive, PR Newswire, 01/17/2001, pgs. 1-2.	
	18	<i>FrugalEscrow PLC enters The US Escrow Market Ahead Of Schedule</i> ; Dow Jones Interactive, PR Newswire, 01/03/2001, pgs. 1-2.	
	19	<i>Pitney Bowes Provides Online Escrow Services for Capital Stream</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2.	
	20	<i>Pitney Bowes To Offer Escrow Svcs For CapitalStream Users</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2.	
	21	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-2.	
	22	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Frequently Asked Questions; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-4.	
	23	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Terms of Service and Credit Card User Agreement; www.escrowguardian.com ; downloaded 02/28/2001; pgs. 1-3.	
	24	<i>Show me the money, How PayPal works</i> ; ZDNet: Business & Tech E-Commerce: How PayPal works; 3 pages, available at http://www.zdnet.com/ecommerce/stories/main/0,10475,2687755-5,00/html ; downloaded on 03/19/01.	
	25	<i>Safe2Trade.com, How it Works</i> ; 2 pages, available at http://www.safe2trade.com/steps.htm ; downloaded on 02/27/01.	

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	26	<i>i-Escrow.com adopts new name Tradenable, plans rollout of transaction settlement services for businesses; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/prerelease/011701.html, downloaded on 2/5/01.</i>			
	27	<i>Personal Webpages; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/webpages.html, downloaded on 2/5/01.</i>			
	28	<i>Classified Ads Sites (non-automotive); Tradenable Fearless Commerce; 1 page, available at http://www.tradenenable.com/classified.html, downloaded on 2/5/01.</i>			
	29	<i>Retail Sites; Tradenable Fearless Commerce; 1 page, available at http://www.tradenenable.com/retail.html, downloaded on 2/5/01.</i>			
	30	<i>Services; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/services_index.html, downloaded on 2/5/01.</i>			
	31	<i>Partnerships; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/current.html, downloaded on 2/5/01.</i>			
	32	<i>About Us; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/aboutus_index.html, downloaded on 2/5/01.</i>			
	33	<i>About Us, What's New, We've Changed Our Name; Tradenable Fearless Commerce; 2 pages, available at http://www.tradenenable.com/whats.html, downloaded on 2/5/01.</i>			
	34	<i>E-Commerce Success Story: iEscrow.com; E-Commerce Times: Success Stores; 9 pages, available at http://www.ecommercetimes.com/success_stories/success_iescrow.shtml, downloaded on 1/8/01.</i>			
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